


## SCHEDULE-AT-A-GLANCE

**ABA/ABA MONEY LAUNDERING ENFORCEMENT CONFERENCE**  
November 13-15, 2011 <> Hilton Washington Hotel, Washington, DC

### SUNDAY, NOVEMBER 13

| TIME               | EVENT   | ROOM   |
|--------------------|---|--|
| 8:30 AM - 7:00 PM  | REGISTRATION OPEN   | Columbia Hall Foyer<br>– Terrace Level   |
| 9:30 – 11:30 AM    | EXPANDED SESSION<br>Ten Years After: Does Your BSA/AML Program Stand The Test of Time?  | International Ballroom (IBR)<br>East - Concourse Level   |
| 11:30 AM – 1:30 PM | LUNCH ON YOUR OWN   |  |
| 1:30 - 2:45 PM     | <b>CONCURRENT SESSIONS – SERIES 1</b><br><br>1A: HOW TO COPE WITH EMERGING CRIMES: Human Trafficking (REPEATED IN 4D)<br><br>1B: HOW TO USE INNOVATIVE CUSTOMER MONITORING TO DETECT MONEY LAUNDERING (REPEATED IN 2A)<br><br>1C: HOW TO ADDRESS THE NEW ANTI-CORRUPTION ENVIRONMENT (REPEATED IN 5D)<br><br>1D: HOW TO UPGRADE YOUR BSA/AML/OFAC RISK ASSESSMENTS (REPEATED IN 2D)<br><br>1E: SARS FROM A TO Z: FROM FILING TO CUSTOMER CONCERNS (SINGLE)  | IBR East - Concourse Level<br><br>IBR West - Concourse Level<br><br>Cabinet - Concourse Level<br><br>Georgetown - Concourse Level<br><br>Jefferson - Concourse Level |
| 3:00 - 4:15 PM     | <b>CONCURRENT SESSIONS – SERIES 2</b><br><br>2A: HOW TO USE INNOVATIVE CUSTOMER MONITORING TO DETECT MONEY LAUNDERING (REPEATED FROM 1B)<br><br>2B: HOW TO COPE WITH CONTINUING CRIMES: PONZI SCHEMES, CORPORATE FRAUD AND INTERNET GAMBLING (REPEATED IN 3D)<br><br>2C: HOW TO DETECT THE TOP MONEY LAUNDERING CRIMES: MORTGAGE FRAUD (REPEATED IN 5C)<br><br>2D: HOW TO UPGRADE YOUR BSA/AML/OFAC RISK ASSESSMENTS (REPEATED FROM 1D)<br><br>2E: HOW TO DEMONSTRATE THE ADEQUACY OF YOUR SURVEILLANCE AND TRANSACTION MONITORING SYSTEMS (REPEATED IN 3A) | IBR West - Concourse Level<br><br>IBR East - Concourse Level<br><br>Cabinet - Concourse Level<br><br>Georgetown - Concourse Level<br><br>Jefferson - Concourse Level |
| 4:30 - 6:00 PM     | <b>GENERAL SESSION</b><br><b>WHAT'S NEW IN SUSPICIOUS ACTIVITY REPORTING</b>  | IBR Center – Concourse Level   |
| 6:00 - 7:00 PM     | <b>OPENING RECEPTION IN THE MARKETPLACE</b><br><br><i>Sponsored by:</i><br><br>Corporation for American Banking, L.L.C.  | Columbia Hall – Terrace Level  |

## SCHEDULE-AT-A-GLANCE

**ABA/ABA MONEY LAUNDERING ENFORCEMENT CONFERENCE**  
November 13-15, 2011 <> Hilton Washington Hotel, Washington, DC

| <b>MONDAY, NOVEMBER 14</b> |  |   |
|----------------------------|--|---|
| TIME                       | EVENT  | ROOM  |
| 7:00 AM - 6:30 PM          | REGISTRATION OPEN  | Columbia Hall Foyer<br>– Terrace Level  |
| 7:00 - 8:00 AM             | <p><b>POWER SESSION: (WITH CONTINENTAL BREAKFAST)</b><br/>ADOPTING ADVANCED ANALYTICS TO DRIVE EFFICIENT AND EFFECTIVE AML MONITORING</p> <p><i>Sponsored by:</i></p>   | Jefferson - Concourse Level   |
| 7:15 - 8:00 AM             | CONTINENTAL BREAKFAST IN THE MARKETPLACE   | Columbia Hall – Terrace Level   |
| 8:15 - 8:55 AM             | <p><b>GENERAL SESSION:</b><br/>KEYNOTE ADDRESS</p> <p><i>Featuring: Cyrus R. Vance, Jr., Manhattan District Attorney, New York, NY</i></p>   | IBR Center– Concourse Level   |
| 8:55 - 10:30 AM            | <p><b>GENERAL SESSION</b><br/>COPING WITH A CHANGING REGULATORY ENVIRONMENT</p>  | IBR Center– Concourse Level   |
| 10:30 - 11:00 AM           | <p>COFFEE BREAK IN THE MARKETPLACE</p> <p><i>Sponsored by:</i></p>    | Columbia Hall – Terrace Level   |
| 11:00 AM - 12:15 PM        | <p><b>CONCURRENT SESSIONS – SERIES #3</b></p> <p><b>3A: HOW TO DEMONSTRATE THE ADEQUACY OF YOUR SURVEILLANCE AND TRANSACTION MONITORING SYSTEMS (REPEATED FROM 2E)</b></p> <p><b>3B: FATCA (FOREIGN ACCOUNT TAX COMPLIANCE ACT) COMPLIANCE (SINGLE)</b></p> <p><b>3C: KEEPING THE BOARD OUT OF TROUBLE: EFFECTIVE GOVERNANCE AND OVERSIGHT (REPEATED IN 4C)</b></p> <p><b>3D: HOW TO COPE WITH CONTINUING CRIMES: PONZI SCHEMES, CORPORATE FRAUD AND INTERNET GAMBLING (REPEATED FROM 2B)</b></p> <p><b>3E: MONEY LAUNDERING AROUND THE WORLD (REPEATED IN 4E)</b></p> | <p>Jefferson - Concourse Level</p> <p>Cabinet - Concourse Level</p> <p>IBR West - Concourse Level</p> <p>IBR East - Concourse Level</p> <p>Georgetown - Concourse Level</p> |
| 12:15 - 1:45 PM            | <p><b>LUNCHEON WITH SPEAKER</b></p> <p><i>Featuring: Frank Keating, President, American Bankers Association</i></p> <p><i>Sponsored by:</i></p>   | IBR Center – Concourse Level  |
| 1:45 - 2:15 PM             | DESSERT IN THE MARKETPLACE   | Columbia Hall – Terrace Level   |

## **SCHEDULE-AT-A-GLANCE**

**ABA/ABA MONEY LAUNDERING ENFORCEMENT CONFERENCE**  
November 13-15, 2011 <> Hilton Washington Hotel, Washington, DC

### **MONDAY, NOVEMBER 14**

| <b>TIME</b>           | <b>EVENT</b>  | <b>ROOM</b>   |
|-----------------------|---|---|
| <b>2:15 - 3:30 PM</b> | <b>CONCURRENT SESSIONS – SERIES #4</b><br><br><b>4A: MANAGING CUSTOMER RISK: RISK- BASED CUSTOMER DUE DILIGENCE (REPEATED IN 5A)</b><br><br><b>4B: INDEPENDENT TESTING: THE FOURTH PILLAR OF A SOUND BSA/AML PROGRAM (REPEATED IN 5B)</b><br><br><b>4C: KEEPING THE BOARD OUT OF TROUBLE: EFFECTIVE GOVERNANCE AND OVERSIGHT (REPEATED FROM 3C)</b><br><br><b>4D: HOW TO COPE WITH EMERGING CRIMES: Human Trafficking (REPEATED FROM 1A)</b><br><br><b>4E: MONEY LAUNDERING AROUND THE WORLD (REPEATED FROM 3E)</b> | <b>Jefferson - Concourse Level</b><br><br><b>Cabinet - Concourse Level</b><br><br><b>IBR West - Concourse Level</b><br><br><b>IBR East - Concourse Level</b><br><br><b>Georgetown - Concourse Level</b> |
| <b>3:45 - 5:15 PM</b> | <b>GENERAL SESSION</b><br><b>NEW PRODUCTS – NEW RULES</b>   | <b>IBR Center– Concourse Level</b>  |
| <b>5:15 – 6:30 PM</b> | <b>RECEPTION IN THE MARKETPLACE</b>   | <b>Columbia Hall – Terrace Level</b>  |

## **SCHEDULE-AT-A-GLANCE**

**ABA/ABA MONEY LAUNDERING ENFORCEMENT CONFERENCE**  
November 13-15, 2011 <> Hilton Washington Hotel, Washington, DC

| <b>TUESDAY, NOVEMBER 15</b>          |  |  |
|--------------------------------------|--|--|
| <b>TIME</b>                          | <b>EVENT</b>   | <b>ROOM</b>  |
| 7:00 AM - 4:00 PM                    | REGISTRATION OPEN  | Columbia Hall Foyer – Terrace Level  |
| 7:15 - 8:00 AM                       | CONTINENTAL BREAKFAST IN THE MARKETPLACE   | Columbia Hall – Terrace Level  |
| 8:15 AM - 9:00 AM                    | GENERAL SESSION<br>KEYNOTE ADDRESS<br><i>Featuring: James H. Freis, Jr., Director, Financial Crimes Enforcement Network (FinCEN), Washington, DC</i>   | IBR Center – Concourse Level   |
| 9:00 AM -10:30 AM                    | GENERAL SESSION<br>ASK THE REGULATORS  | IBR Center– Concourse Level  |
| 10:30 - 11:00 AM                     | COFFEE BREAK IN THE MARKETPLACE  | Columbia Hall – Terrace Level  |
| 11:00 AM - 12:15 PM                  | CONCURRENT SERIES #5<br><br>5A: MANAGING CUSTOMER RISK: RISK- BASED CUSTOMER DUE DILIGENCE (REPEATED FROM 4A)<br><br>5B: INDEPENDENT TESTING: THE FOURTH PILLAR OF A SOUND BSA/AML PROGRAM (REPEATED FROM 4B)<br><br>5C: HOW TO DETECT THE TOP MONEY LAUNDERING CRIMES: MORTGAGE FRAUD (REPEATED FROM 2C)<br><br>5D: HOW TO ADDRESS THE NEW ANTI-CORRUPTION ENVIRONMENT (REPEATED FROM 1C) | IBR East - Concourse Level<br><br>Columbia Hall Rooms 3-4 – Terrace Level<br><br>IBR West - Concourse Level<br><br>Gunston - Terrace Level |
| 12:15 - 1:45 PM                      | LUNCHEON WITH SPEAKER<br><i>Featuring: Ron Machen, U.S. Attorney for the District of Columbia, Washington, DC</i>  | IBR Center – Concourse Level   |
| 1:45 - 2:30 PM                       | FINAL DESSERT IN THE MARKETPLACE   | Columbia Hall – Terrace Level  |
| 2:30 - 4:00 PM                       | CLOSING GENERAL SESSION<br>CRITICAL ISSUES IN SANCTIONS COMPLIANCE   | IBR Center – Concourse Level   |
| <b>CONFERENCE ADJOURNS – 4:00 PM</b> |  |  |

**WE THANK YOU FOR PARTICIPATING IN THIS CRITICAL INDUSTRY EVENT!**

Please mark your calendar for next year's conference:

***November 11 - 13, 2012***

***Washington Marriott Wardman Park Hotel, Washington, DC***